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UNITED STATES

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SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60 ormation Required of a Self-Regulatory Organization Listing and Trading a New Parative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag PHLX LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **Actively Managed Portfolio of Securities** 18008101 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-**Not Applicable** 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NYSE, OTC 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) Position Limits of New Derivative Securities Product (if applicable): N/A Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: William Slattery Title: Vice President

SEC 2449 (6-01)

Date: June 20, 2018

Telephone Number: +1 301 9788088

Manual Bignayure of Official Re

Act Securities Exchange Act of 1934

Section 196-4

Rule 196-4(e)

Public

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